

05-26-04

AF/3628

"Express Mail" mailing label number ER 182437671 US
I, Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this paper or
fee is being filed by depositing it with the United States Postal Service "Express
Mail Post Office to Addressee" service under 37 CFR 1.10 on the date
indicated below and is addressed to MS Appeal, Commissioner of Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:

Signed: 

Peter K. Trzyna (Reg. No. 32,601)

Date: May 25, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 1 2004

GROUP 3600

Inventors	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/467,646
Filed	:	December 20, 1999
For	:	DIGITAL COMPUTER SYSTEM FOR OPERATING A CUSTOMIZABLE INVESTMENT FUND
Group Art Unit	:	3628
Examiner	:	Poinvil, Frantzy

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

S I R :

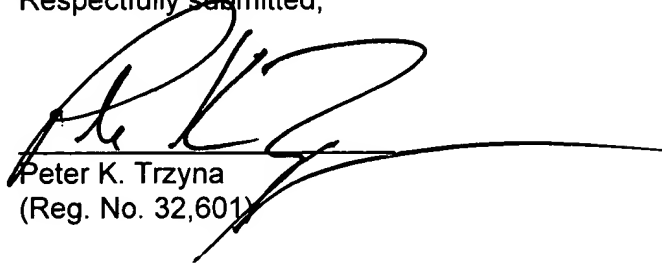
Please enter the following enclosed documents in the above-identified patent
application.

1. Notice of Appeal.

Applicant claims small entity status. The Commissioner is hereby authorized to
charge any fees associated with the above-identified patent application or credit any overcharges
to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyna
(Reg. No. 32,601)

Date: May 25, 2004

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824



"Express Mail" mailing label number ER182437671US
Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this paper or
fee is being filed by depositing it with the United States Postal Service "Express
Mail Post Office to Addressee" service, under 37 CFR 1.10 on the date
indicated below and is addressed to MS: Appeal, Commissioner of Patents,
P.O. Box 1450, Alexandria, VA 22304-1450 on the date set forth below:

Signed: _____

Peter K. Trzyna (Reg. No. 32,601)

Date: May 25, 2004

PATENT

Paper No.

File: Newmrkt-P4-99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/467,646
Filed	:	December 20, 1999
For	:	DIGITAL COMPUTER SYSTEM FOR OPERATING A CUSTOMIZABLE INVESTMENT FUND
Group Art Unit	:	3628
Examiner	:	Poinvil, Frantz

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECEIVED
JUN 1 2004
GROUP 3600

NOTICE OF APPEAL

S I R:

Applicant hereby submits this Notice of Appeal to appeal to the Board of Patent
Appeals from the decision of the Examiner May 20, 2004, twice rejecting all claims.

Applicant claims small entity status. The Commissioner is hereby authorized to
charge any fees associated with the above-identified patent application or credit any
overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Peter K. Trzyna
(Reg. No. 32,601)

Date: May 25, 2004

P.O. Box 7131
Chicago, Illinois 60680-7131
(312) 240-0824